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Bib Data Sheet

CONFIRMATION NO. 5246

SERIAL NUMBER 09/678,252	FILING DATE 10/03/2000 RULE	CLASS 705	GROUP ART UNIT 2162	ATTORNEY DOCKET NO. 7451-0001-15
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APPLICANTS
Karl L. Ginter, Beltsville, MD;
Victor H. Shear, Bethesda, MD;
Francis J. Spahn, El Cerrito, CA;
David M. Van Wie, Eugene, OR;

**** CONTINUING DATA ******* *80*
THIS APPLICATION IS A CON OF 09/328,641 06/09/1999, PAT 6,389,402 B1
WHICH IS A CON OF 08/964,333 11/04/1997 PAT 5,982,891 A
WHICH IS A CON OF 08/388,107 02/13/1995 ABN

**** FOREIGN APPLICATIONS ******* *81*
NONE

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 01/12/2001

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance <i>John Danner</i> Examiner's Signature Initials	STATE OR COUNTRY MD	SHEETS DRAWING 144	TOTAL CLAIMS 23	INDEPENDENT CLAIMS 7
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ADDRESS
Finnegan Henderson Farabow Garrett & Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

TITLE
Systems and methods for secure transaction management and electronic rights protection

FILING FEE RECEIVED 1084	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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#5

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PATENT
Customer Number: 22,852
Atty. Dkt. No.: 7451.0001-15
InterTrust Ref. No.: IT-5.0.1.1(US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl L. Ginter, et al.

Serial No.: 09/678,252

Filed: October 3, 2000

For: SYSTEMS AND METHODS FOR SECURE
TRANSACTION MANAGEMENT AND
ELECTRONIC RIGHTS PROTECTION



)
)
) Group Art Unit: 2162

)
) Examiner: Unassigned

)
) **RECEIVED**

)
) MAY 22 2001

)
) Technology Center 2100

OFFICE OF INITIAL PATENT EXAMINATION
Assistant Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

We enclose a copy of an Official Filing Receipt that was received from the U.S. Patent and Trademark Office for this matter. One of the inventors was omitted in error. Please include the following information.

Francis J. Spahn, El Cerrito, CA

A copy of the filing receipt with the correction made in red ink is attached as well as a copy of the application cover sheet and Declaration, both listing all of the inventors, including Mr. Spahn. It is respectfully requested that a Corrected Official Filing Receipt be issued as soon as possible.

Since the error is on the part of the Patent Office, no fee is required. However, if it is determined that any fees are due in connection with the filing of this Request, please charge such fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: January 29, 2001

By Linda J. Thayer
Linda J. Thayer
Reg. No. 45,681

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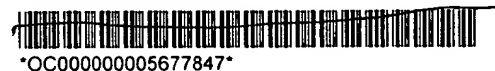


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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/678,252	10/03/2000	2162	1084	7451-0001-15	144	23	7

FILING RECEIPT



OC000000005677847

Finnegan Henderson Farabow Garrett
& Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

Date Mailed: 01/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Karl L. Ginter, Beltsville, MD ;
Victor H. Shear, Bethesda, MD ;
David M. Van Wie, Eugene, OR ;

Francis J. Spahn, El Cerrito, CA

RECEIVED

MAY 22 2001

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/328,641 06/09/1999
WHICH IS A CON OF 08/964,333 11/04/1997 PAT 5,982,891
WHICH IS A CON OF 08/388,107 02/13/1995 ABN

Technology Center 2100

Foreign Applications

If Required, Foreign Filing License Granted 01/12/2001

Title

Systems and methods for secure transaction management and electronic rights protection

Preliminary Class

705

KG
1/19/01

Atty. Dkt. No.: 7451.0001-15
InterTrust Ref. No.: IT-5.0.1.1 (US)

United States Patent Application

of

***Karl L. Ginter
Victor H. Shear
Francis J. Spahn
and
David M. Van Wie***

for

***Systems and Methods for Secure Transaction
Management and Electronic Rights Protection***

LAW OFFICES

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DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT AND ELECTRONIC RIGHTS PROTECTION

the specification of which ☐ is attached and/or ☒ was filed on June 9, 1999, as United States Application Serial No. 09/328,671.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT International application(s) having a filing date before that of the application(s) of which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing

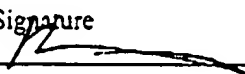
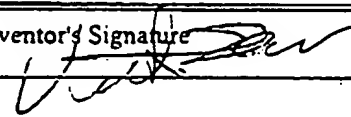

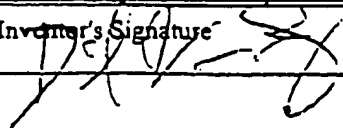
I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application:

Application Number	Date of Filing	Status (Patented, Pending, Abandoned)
08/964,333	November 4, 1997	Pending
08/388,107	February 13, 1995	Abandoned

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.**, Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvoild, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz,

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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